

VALUATIONS ON SOBOLEV SPACES

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Abstract

All affinely covariant convex-body-valued valuations on the Sobolev space $W^{1,1}(\mathbb{R}^n)$ are completely classified. It is shown that there is a unique such valuation for Blaschke addition. This valuation turns out to be the operator which associates with each function $f \in W^{1,1}(\mathbb{R}^n)$ the unit ball of its optimal Sobolev norm.

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Let $\|\cdot\|$ denote a norm on \mathbb{R}^n that is normalized so that its unit ball has the same volume, v_n , as the n -dimensional Euclidean unit ball. For such a norm, the sharp Gagliardo-Nirenberg-Sobolev inequality states that

$$\int_{\mathbb{R}^n} \|\nabla f(x)\|_* dx \geq n v_n^{1/n} |f|_{\frac{n}{n-1}} \quad (1)$$

for every $f \in W^{1,1}(\mathbb{R}^n)$. Here for $p \geq 1$, $|f|_p$ denotes the L^p norm of f and $\|\cdot\|_*$ the dual norm of $\|\cdot\|$ (see Section 1 for precise definitions). The Sobolev space $W^{1,1}(\mathbb{R}^n)$ is the space of functions $f \in L^1(\mathbb{R}^n)$ such that their weak gradient ∇f is in $L^1(\mathbb{R}^n)$. If the unit ball B of $\|\cdot\|$ is the Euclidean unit ball, then inequality (1) goes back to Federer and Fleming [15] and Maz'ya [46] and is known to be equivalent to the Euclidean isoperimetric inequality. For general norms, (1) was established by Gromov [49, Appendix]. Note that the right hand side of (1) does not depend on $\|\cdot\|$. Hence for a given $f \in W^{1,1}(\mathbb{R}^n)$, $n \geq 2$, we may ask for its *optimal Sobolev norm*, that is, for the norm that minimizes the left-hand side of (1) among all norms whose unit balls have volume v_n .

This natural and important question was first asked by Lutwak, Yang and Zhang in [45]. They showed that the unit ball $\langle f \rangle$ corresponding to the optimal Sobolev norm of $f \in W^{1,1}(\mathbb{R}^n)$ is (up to normalization) the unique origin-symmetric convex body (that is, compact, convex set) in \mathbb{R}^n such that

$$\int_{S^{n-1}} g(u) dS(\langle f \rangle, u) = \int_{\mathbb{R}^n} g(-\nabla f(x)) dx \quad (2)$$

for every even $g \in C(\mathbb{R}^n)$ that is positively homogeneous of degree 1. Here $S(K, \cdot)$ is the Aleksandrov-Fenchel-Jessen surface area measure of $K \in \mathcal{K}_c^n$ and \mathcal{K}_c^n is the set of origin-symmetric convex bodies in \mathbb{R}^n with non-empty interiors together with the convex body $\{0\}$. The equations (2) are a functional version of the classical even Minkowski problem and define

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an operator $\langle \cdot \rangle : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ which associates with each $f \in W^{1,1}(\mathbb{R}^n)$ its *optimal Sobolev body* $\langle f \rangle$. Thus (2) provides a second description of the optimal Sobolev norm. Lutwak, Yang and Zhang [45] showed that the optimal Sobolev body corresponds also to the optimal norm in a family of sharp Gagliardo-Nirenberg inequalities recently established by Cordero, Nazaret, and Villani [14]. Moreover, the optimal Sobolev body has proved to be critical in recent results on affine isoperimetric inequalities (see [13, 24, 40, 44, 45, 59, 60]).

Using valuations on Sobolev spaces, we obtain a new and totally different description of the operator $f \mapsto \langle f \rangle$. A function z defined on a lattice $(\mathcal{L}, \vee, \wedge)$ and taking values in an abelian semigroup is called a *valuation* if

$$z(f \vee g) + z(f \wedge g) = z(f) + z(g) \quad (3)$$

for all $f, g \in \mathcal{L}$. A function z defined on some subset \mathcal{M} of \mathcal{L} is called a valuation on \mathcal{M} if (3) holds whenever $f, g, f \vee g, f \wedge g \in \mathcal{M}$.

Investigations of valuations on convex bodies $(\mathcal{K}^n, \cup, \cap)$ have been an active and prominent part of mathematics ever since Dehn's solution of Hilbert's Third Problem in 1900. Blaschke obtained the first classification of real-valued valuations on convex bodies that are $SL(n)$ invariant in the 1930s. This was greatly extended by Hadwiger in his famous classification of continuous, rigid motion invariant valuations and characterization of elementary mixed volumes. See [25, 30, 47, 48] for information on the classical theory of valuations on convex bodies and [1–5, 9, 16, 20–23, 33–35, 38, 39, 51, 53, 54, 58] for some of the more recent results. Valuations were also investigated on star shaped sets [27, 28], on manifolds [6–8, 10, 11] and on Lebesgue spaces [37, 56, 57].

In this paper, we classify valuations on $(W^{1,1}(\mathbb{R}^n), \vee, \wedge)$, where for $f, g \in W^{1,1}(\mathbb{R}^n)$, the function $f \vee g$ denotes the pointwise maximum and the function $f \wedge g$ the pointwise minimum of f and g . As in the classical results for valuations on convex bodies we use invariance and covariance properties to obtain characterizations of important operators. An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is called $GL(n)$ *covariant* if for some $p \in \mathbb{R}$,

$$z(f \circ \phi^{-1}) = |\det \phi|^p \phi z(f)$$

for all $f \in W^{1,1}(\mathbb{R}^n)$ and $\phi \in GL(n)$, where $\det \phi$ is the determinant of ϕ . An operator z is called *translation invariant* if $z(f \circ \tau^{-1}) = z(f)$ for all $f \in W^{1,1}(\mathbb{R}^n)$ and translations τ . It is called *homogeneous* if for some $q \in \mathbb{R}$, we have $z(sf) = |s|^q z(f)$ for all $f \in W^{1,1}(\mathbb{R}^n)$ and $s \in \mathbb{R}$. An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is called *affinely covariant* if z is homogeneous, translation invariant and $GL(n)$ covariant.

Theorem 1. *An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, \# \rangle$, where $n \geq 3$, is a continuous, affinely covariant valuation if and only if there is a constant $c \geq 0$ such that*

$$z(f) = c \langle f \rangle$$

for every $f \in W^{1,1}(\mathbb{R}^n)$.

Here $\#$ denotes Blaschke addition on \mathcal{K}_c^n , that is, for $K, L \in \mathcal{K}_c^n$, the convex body $K \# L$ is the (uniquely determined) origin-symmetric convex body such that $S(K \# L, \cdot) = S(K, \cdot) + S(L, \cdot)$ (see Section 1 for precise definitions). See [12, 18, 26, 29, 41–43, 52] for some of the recent results involving Blaschke addition and, in particular, Haberl [21], where a classification of Blaschke valuations on convex bodies was obtained.

Theorem 1 is in a certain sense dual to the following classification result for valuations $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$. Here $+$ denotes Minkowski addition on \mathcal{K}_c^n , that is, for $K, L \in \mathcal{K}_c^n$, we have $K + L = \{x + y : x \in K, y \in L\}$. We say that an operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is $GL(n)$ *contravariant* if for some $p \in \mathbb{R}$,

$$z(f \circ \phi^{-1}) = |\det \phi|^p \phi^{-t} z(f)$$

for all $f \in W^{1,1}(\mathbb{R}^n)$ and $\phi \in GL(n)$, where ϕ^{-t} is the transpose of the inverse of ϕ . An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is called *affinely contravariant* if z is homogeneous, translation invariant and $GL(n)$ contravariant.

Theorem 2. *An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$, where $n \geq 3$, is a continuous, affinely contravariant valuation if and only if there is a constant $c \geq 0$ such that*

$$z(f) = c \Pi \langle f \rangle$$

for every $f \in W^{1,1}(\mathbb{R}^n)$.

Here ΠK denotes the *projection body* of a convex body K . Projection bodies were introduced by Minkowski at the turn of the last century and have proved to be very useful in many ways and subjects (cf. [17]). They can be defined in the following way. Every convex body K is uniquely determined by its support function $h(K, \cdot)$, where $h(K, v) = \max\{v \cdot x : x \in K\}$ for $v \in \mathbb{R}^n$ and $v \cdot x$ is the standard inner product of $v, x \in \mathbb{R}^n$. The projection body of K is the convex body whose support function is given by

$$h(\Pi K, v) = \frac{1}{2} \int_{S^{n-1}} |u \cdot v| dS(K, u), \quad v \in \mathbb{R}^n.$$

Combined with (2), this gives

$$h(\Pi \langle f \rangle, v) = \frac{1}{2} \int_{\mathbb{R}^n} |v \cdot \nabla f(x)| dx. \quad (4)$$

Also the convex body $\Pi \langle f \rangle$ has proved to be critical for affine isoperimetric inequalities. In particular, the affine Zhang-Sobolev inequality [60] is a volume inequality for the polar body of $\Pi \langle f \rangle$ which strengthens and implies the Euclidean case of the Sobolev inequality (1).

1 Background material on convex bodies

General references on convex bodies are the books by Gardner [17], Gruber [19], Schneider [50], and Thompson [55]. We work in Euclidean n -space, \mathbb{R}^n , and write $x = (x_1, \dots, x_n)$ for $x \in \mathbb{R}^n$. Throughout this paper, $u \cdot x$ denotes the standard inner product of $u, x \in \mathbb{R}^n$ and $|\cdot|$ denotes the standard Euclidean norm on \mathbb{R}^n . The vectors of the standard basis of \mathbb{R}^n are denoted by e_1, \dots, e_n and the k -dimensional volume of a k -dimensional convex body F by $V_k(F)$.

Let \mathcal{K}^n denote the space of convex bodies in \mathbb{R}^n . The subspace of convex bodies with non-empty interiors which contain the origin is denoted by \mathcal{K}_0^n and the subspace of origin-symmetric

bodies with non-empty interiors by \mathcal{K}_c^n . These spaces are equipped with the Hausdorff metric δ defined by

$$\delta(K, L) = \max\{|h(K, u) - h(L, u)| : u \in S^{n-1}\}.$$

Minkowski addition can also be described by support functions, since

$$h(K + L, v) = h(K, v) + h(L, v) \quad (5)$$

for all $K, L \in \mathcal{K}_c^n$ and $v \in \mathbb{R}^n$. Note that $\langle \mathcal{K}_c^n, + \rangle$ is an abelian semigroup.

Blaschke addition is defined using the surface area measure $S(K, \cdot)$ for $K \in \mathcal{K}_0^n$. For a Borel set $\omega \subset S^{n-1}$, the surface area measure $S(K, \omega)$ is the $(n-1)$ -dimensional Hausdorff measure of the set of all boundary points of K at which there exists a unit normal vector of K belonging to ω . The solution to the Minkowski problem (see [50]) states that a non-negative Borel measure μ on S^{n-1} is the surface area measure of a convex body if and only if μ is not concentrated on a great subsphere and has its centroid, $\frac{1}{\mu(S^{n-1})} \int_{S^{n-1}} u d\mu(u)$, at the origin. If such a measure μ is given, there is a unique convex body $K \in \mathcal{K}_0^n$ with surface area measure $S(K, \cdot) = \mu$ that has its centroid, $\frac{1}{V_n(K)} \int_K x dx$, at the origin. For $K, L \in \mathcal{K}_0^n$, their Blaschke sum, $K \# L$, is defined as the unique convex body with centroid at the origin such that

$$S(K \# L, \cdot) = S(K, \cdot) + S(L, \cdot).$$

Since the sum of two surface area measures satisfies the necessary conditions of the Minkowski problem, Blaschke addition is well defined by the solution of the Minkowski problem. For $t > 0$ and $K \in \mathcal{K}_0^n$, the Blaschke multiple, $t \cdot K$, is defined as the unique convex body with centroid at the origin such that

$$S(t \cdot K, \cdot) = t S(K, \cdot).$$

Hence $t \cdot K = t^{1/(n-1)} K$, if K has its centroid at the origin. A convex body is origin-symmetric if and only if its surface area measure is an even measure and its centroid is at the origin. Note that for $K \in \mathcal{K}_0^n$, the *Blaschke symmetral* $\frac{1}{2} \cdot (K \# (-K))$ is an origin-symmetric convex body. Also note that $\langle \mathcal{K}_c^n, \# \rangle$ is an abelian semigroup.

For $K \in \mathcal{K}^n$ which contains the origin in its interior, the *polar body*, K^* , of K is defined by

$$K^* = \{x \in \mathbb{R}^n : x \cdot y \leq 1 \text{ for every } y \in K\}.$$

For a normed space $E = (\mathbb{R}^n, \|\cdot\|)$, the dual space is $E^* = (\mathbb{R}^n, \|\cdot\|_*)$, where $\|\cdot\|_*$ is given for $v \in \mathbb{R}^n$ by

$$\|v\|_* = \sup\{x \cdot v : \|x\| \leq 1\}.$$

If B is the unit ball of E , that is, $B = \{x \in \mathbb{R}^n : \|x\| \leq 1\}$, then its polar body, B^* , is the unit ball of E^* .

We require some facts about the projection operator $\Pi : \mathcal{K}^n \rightarrow \mathcal{K}^n$, which can be found in [17]. It is a simple consequence of the definition of Π that

$$\Pi(K \# L) = \Pi K + \Pi L \quad (6)$$

for $K, L \in \mathcal{K}_0^n$. Note that for $K \in \mathcal{K}_0^n$, we have

$$\Pi\left(\frac{1}{2} \cdot (K \# (-K))\right) = \Pi K. \quad (7)$$

The projection operator has strong contravariance and invariance properties: for all $\phi \in \text{GL}(n)$ and translations τ , we have

$$\Pi(\phi K) = |\det \phi| \phi^{-t} \Pi K \quad \text{and} \quad \Pi(\tau K) = \Pi K \quad (8)$$

for all $K \in \mathcal{K}_0^n$. Further, Π is continuous on \mathcal{K}_0^n and injective on \mathcal{K}_c^n . If \mathcal{Z}^n denotes the range of Π , the inverse operator $\Pi^{-1} : \mathcal{Z}^n \rightarrow \mathcal{K}_c^n$ is also continuous.

The proofs of Theorems 1 and 2 make essential use of a classification result of convex-body-valued valuations established in [36]. To state the result, we need the following definitions. Let \mathcal{P}_0^n denote the set of convex polytopes in \mathbb{R}^n that contain the origin in their interiors. The *moment body*, MP , of P is defined by

$$h(\text{MP}, v) = \int_P |v \cdot x| dx, \quad v \in \mathbb{R}^n.$$

We say that an operator $Z : \mathcal{P}_0^n \rightarrow \mathcal{K}^n$ is $\text{GL}(n)$ *contravariant of weight* $p \in \mathbb{R}$, if

$$Z(\phi P) = |\det \phi|^p \phi^{-t} Z P$$

for all $P \in \mathcal{P}_0^n$ and $\phi \in \text{GL}(n)$.

Theorem 3 ([36]). *An operator $Z : \mathcal{P}_0^n \rightarrow \langle \mathcal{K}_c^n, + \rangle$, where $n \geq 3$, is a valuation which is $\text{GL}(n)$ contravariant of weight p if and only if there is a constant $c \geq 0$ such that*

$$Z P = \begin{cases} c \text{MP}^* & \text{for } p = -1 \\ c(P^* + (-P)^*) & \text{for } p = 0 \\ c \Pi P & \text{for } p = 1 \\ \{0\} & \text{otherwise} \end{cases}$$

for every $P \in \mathcal{P}_0^n$.

For $n = 2$, there are additional convex-body-valued valuations (see [36]). Also note that if we replace $\text{GL}(n)$ contravariance by $\text{SO}(n)$ covariance, there is a much larger class of valuations (see, for example, [52]).

2 Background material on Sobolev spaces

For $p \geq 1$ and a measurable function $f : \mathbb{R}^n \rightarrow \mathbb{R}$, let

$$|f|_p = \left(\int_{\mathbb{R}^n} |f(x)|^p dx \right)^{1/p}.$$

A measurable function f is in $L^p(\mathbb{R}^n)$ if $|f|_p < \infty$. A function $f \in L^1(\mathbb{R}^n)$ has L^1 *weak derivative*, if there exists a measurable function $\nabla f : \mathbb{R}^n \rightarrow \mathbb{R}^n$ such that $\nabla f \in L^1(\mathbb{R}^n)$ (that is, $|\nabla f| \in L^1(\mathbb{R}^n)$) and

$$\int_{\mathbb{R}^n} \nu(x) \cdot \nabla f(x) dx = - \int_{\mathbb{R}^n} f(x) \nabla \cdot \nu(x) dx$$

for every compactly supported smooth vector field $\nu(x) : \mathbb{R}^n \rightarrow \mathbb{R}^n$, where we use the notation $\nabla \cdot \nu = \frac{\partial \nu}{\partial x_1} + \dots + \frac{\partial \nu}{\partial x_n}$. The function ∇f is called the *weak gradient* of f and the L^1 norm of $|\nabla f|$ is denoted by $|\nabla f|_1$.

An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is continuous, if for every sequence $f_k \in W^{1,1}(\mathbb{R}^n)$ with $f_k \rightarrow f$ as $k \rightarrow \infty$ in $W^{1,1}(\mathbb{R}^n)$, we have $\delta(z(f_k), z(f)) \rightarrow 0$ as $k \rightarrow \infty$. Here $f_k \rightarrow f$ as $k \rightarrow \infty$ in $W^{1,1}(\mathbb{R}^n)$ if $|f_k - f|_1 \rightarrow 0$ and $|\nabla(f_k - f)|_1 \rightarrow 0$ as $k \rightarrow \infty$. An operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is called trivial, if $z(f) = \{0\}$ for all $f \in W^{1,1}(\mathbb{R}^n)$. It is called $\text{GL}(n)$ *covariant of weight* $p \in \mathbb{R}$, if

$$z(f \circ \phi^{-1}) = |\det \phi|^p \phi z(f)$$

for all $f \in W^{1,1}(\mathbb{R}^n)$ and $\phi \in \text{GL}(n)$. It is called $\text{GL}(n)$ *contravariant of weight* $p \in \mathbb{R}$, if

$$z(f \circ \phi^{-1}) = |\det \phi|^p \phi^{-t} z(f)$$

for all $f \in W^{1,1}(\mathbb{R}^n)$ and $\phi \in \text{GL}(n)$. It is called *homogeneous of degree* $q \in \mathbb{R}$, if

$$z(sf) = |s|^q z(f)$$

for all $f \in W^{1,1}(\mathbb{R}^n)$ and $s \in \mathbb{R}$. If an operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is homogeneous of degree q and non-trivial, then setting $s = 0$ in the definition of homogeneity gives $q \geq 0$. If $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is continuous and homogeneous of degree 0, then $z(f) = z(0)$ for all $f \in W^{1,1}(\mathbb{R}^n)$. If z is in addition $\text{GL}(n)$ co- or contravariant, then we obtain that z is trivial. In particular, we have

$$z(0) = \{0\} \tag{9}$$

for all continuous, homogeneous and $\text{GL}(n)$ co- or contravariant $z : W^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$.

For $f, g \in W^{1,1}(\mathbb{R}^n)$, $f \vee g, f \wedge g \in W^{1,1}(\mathbb{R}^n)$ and for almost every $x \in \mathbb{R}^n$,

$$\nabla(f \vee g)(x) = \begin{cases} \nabla f(x) & \text{when } f(x) > g(x) \\ \nabla g(x) & \text{when } f(x) < g(x) \\ \nabla f(x) = \nabla g(x) & \text{when } f(x) = g(x) \end{cases} \tag{10}$$

and

$$\nabla(f \wedge g) = \begin{cases} \nabla f(x) & \text{when } f(x) < g(x) \\ \nabla g(x) & \text{when } f(x) > g(x) \\ \nabla f(x) = \nabla g(x) & \text{when } f(x) = g(x) \end{cases} \tag{11}$$

(see, for example, [32]). Hence $(W^{1,1}(\mathbb{R}^n), \vee, \wedge)$ is a lattice.

Let $L^{1,1}(\mathbb{R}^n) \subset W^{1,1}(\mathbb{R}^n)$ denote the space of *piecewise affine functions* on \mathbb{R}^n , where a function $\ell : \mathbb{R}^n \rightarrow \mathbb{R}$ is called piecewise affine, if ℓ is continuous and there are finitely many n -dimensional simplices $S_1, \dots, S_m \subset \mathbb{R}^n$ with pairwise disjoint interiors such that the restriction of ℓ to each S_i is affine and $\ell = 0$ outside $S_1 \cup \dots \cup S_m$. Note that the simplices S_1, \dots, S_m are a triangulation of the support of ℓ . Further, note that if V is the set of vertices of this triangulation, then V and the values $\ell(v)$ for $v \in V$ completely determine ℓ . Piecewise affine functions lie dense in $W^{1,1}(\mathbb{R}^n)$ (see, for example, [31]).

For $P \in \mathcal{P}_0^n$, define the piecewise affine function ℓ_P by requiring that $\ell_P(0) = 1$, that $\ell_P(x) = 0$ for $x \notin P$, and that ℓ_P is affine on each simplex with apex at the origin and base

equal to a facet of P . Define $P^{1,1}(\mathbb{R}^n) \subset L^{1,1}(\mathbb{R}^n)$ as the set of all ℓ_P for $P \in \mathcal{P}_0^n$. Note that for $\phi \in \text{GL}(n)$,

$$\ell_{\phi P} = \ell_P \circ \phi^{-1}. \quad (12)$$

We remark that multiples and translates of $\ell_P \in P^{1,1}(\mathbb{R}^n)$ correspond to linear elements within the theory of finite elements.

3 The operators $f \mapsto \langle f \rangle$ and $f \mapsto \Pi \langle f \rangle$

The operator $f \mapsto \langle f \rangle$ has strong covariance and invariance properties (see [45] and also [40]). In particular,

$$\langle s f \rangle = |s| \cdot \langle f \rangle, \quad \langle f \circ \phi^{-1} \rangle = \phi \langle f \rangle, \quad \langle f \circ \tau^{-1} \rangle = \langle f \rangle \quad (13)$$

for all $s \in \mathbb{R}$, $\phi \in \text{GL}(n)$ and for all translations τ .

Lemma 1. *The operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$, defined by $z(f) = c \Pi \langle f \rangle$ with $c \geq 0$, is a continuous affinely contravariant valuation.*

Proof. Using (10) and (11), we obtain from (4) and (5) that z is a valuation. By (13) and (8), we see that z is affinely contravariant. Suppose that $f_k \rightarrow f$ as $k \rightarrow \infty$ in $W^{1,1}(\mathbb{R}^n)$. Then for $u \in S^{n-1}$ we have by (4), the reverse triangle inequality and the Cauchy-Schwarz inequality,

$$|h(z(f_k), u) - h(z(f), u)| \leq \frac{c}{2} \int_{\mathbb{R}^n} |u \cdot \nabla(f_k - f)(x)| dx \leq \frac{c}{2} \int_{\mathbb{R}^n} |\nabla(f_k - f)(x)| dx.$$

Therefore we obtain $\delta(z(f_k), z(f)) \rightarrow 0$ as $k \rightarrow \infty$ and thus z is continuous. \square

Lemma 2. *The operator $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, \# \rangle$, defined by $z(f) = c \langle f \rangle$ with $c \geq 0$, is a continuous affinely covariant valuation.*

Proof. Since the inverse projection operator Π^{-1} is continuous, Lemma 1 implies that z is continuous. By (13), z is affinely covariant. Since by Lemma 1 for all $f, g \in W^{1,1}(\mathbb{R}^n)$,

$$\Pi z(f) + \Pi z(g) = \Pi z(f \vee g) + \Pi z(f \wedge g),$$

we obtain by (6) that

$$\Pi(z(f) \# z(g)) = \Pi(z(f \vee g) \# z(f \wedge g)).$$

Applying Π^{-1} gives

$$z(f) \# z(g) = z(f \vee g) \# z(f \wedge g)$$

for all $f, g \in W^{1,1}(\mathbb{R}^n)$. Thus $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, \# \rangle$ is a valuation. \square

Lemma 3. For $P \in \mathcal{P}_0^n$, $\langle \ell_P \rangle = \frac{1}{2} \cdot (P \# (-P))$.

Proof. By definition, $\langle \ell_P \rangle = \frac{1}{2} \cdot (P \# (-P))$ if for every even $g \in C(\mathbb{R}^n)$ that is homogeneous of degree 1,

$$\frac{1}{n} \int_{S^{n-1}} g(u) dS(\frac{1}{2} \cdot (P \# (-P)), u) = \int_{\mathbb{R}^n} g(-\nabla \ell_P) dx.$$

Let P have facets F_1, \dots, F_m . For the facet F_i , let u_i be its unit outer normal vector and T_i the convex hull of F_i and the origin. Since for $x \in T_i$

$$\ell_P(x) = -\frac{u_i}{h(P, u_i)} \cdot x + 1$$

and

$$\nabla \ell_P(x) = -\frac{u_i}{h(P, u_i)},$$

we obtain that

$$\begin{aligned} \int_{\mathbb{R}^n} g(-\nabla \ell_P) dx &= \sum_{i=1}^m \int_{T_i} g(-\nabla \ell_P(x)) dx \\ &= \sum_{i=1}^m g(u_i) \frac{V_n(T_i)}{h(P, u_i)} \\ &= \frac{1}{n} \sum_{i=1}^m g(u_i) V_{n-1}(F_i) \\ &= \frac{1}{n} \int_{S^{n-1}} g(u) dS(P, u) \\ &= \frac{1}{n} \int_{S^{n-1}} g(u) dS(\frac{1}{2} \cdot (P \# (-P)), u). \end{aligned}$$

Thus $\langle \ell_P \rangle = \frac{1}{2} \cdot (P \# (-P))$. □

4 Proof of Theorem 2

In Lemma 1, it was shown that $f \mapsto c \Pi \langle f \rangle$ is for $c \geq 0$ a continuous affinely contravariant valuation. Suppose that z is a continuous affinely contravariant valuation. The following lemmas establish that there is a constant $c \geq 0$ such that $z(f) = c \Pi \langle f \rangle$ for all $f \in W^{1,1}(\mathbb{R}^n)$.

Lemma 4. If $z : P^{1,1}(\mathbb{R}^n) \rightarrow \mathcal{K}_c^n$ is continuous, non-trivial, and $\text{GL}(n)$ contravariant of weight p , then $p \geq 1$.

Proof. For $a > 0$ and $0 < \varepsilon < 1$, let $\phi_a \in \text{GL}(n)$ map e_1 to $a e_1$ and e_i to $a^\varepsilon e_i$ for $i = 2, \dots, n$. Using (12), we obtain for $P \in \mathcal{P}_0^n$ that $|\ell_{\phi_a P}|_1 = |\det \phi_a| |\ell_P|_1$ and

$$|\nabla \ell_{\phi_a P}|_1 = |\det \phi_a| \int_{\mathbb{R}^n} |\phi_a^{-t} \nabla \ell_P(x)| dx \leq |\det \phi_a| |\nabla \ell_P|_1 \max\{|\phi_a^{-t} u| : u \in S^{n-1}\}.$$

Hence $|\ell_{\phi_a P}|_1 = O(a^{1+(n-1)\varepsilon})$ and $|\nabla \ell_{\phi_a P}|_1 = O(a^{(n-1)\varepsilon})$ as $a \rightarrow 0$. Consequently, $\ell_{\phi_a P} \rightarrow 0$ in $W^{1,1}(\mathbb{R}^n)$ as $a \rightarrow 0$. Since z is $\text{GL}(n)$ contravariant of weight p , we obtain by (12) that

$$z(\ell_{\phi_a P}) = a^{(1+(n-1)\varepsilon)p} \phi_a^{-t} z(\ell_P).$$

Thus the first coordinates of points from $z(\ell_P)$ are multiplied by $a^{(1+(n-1)\varepsilon)p-1}$. Since this happens for all $P \in \mathcal{P}_0^n$ and z is continuous, we conclude that $p \geq 1/(1+(n-1)\varepsilon)$. Since $\varepsilon > 0$ was arbitrary, we obtain $p \geq 1$. \square

Lemma 5. *If $z : P^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$, where $n \geq 3$, is a continuous affinely contravariant valuation, then there is a constant $c \geq 0$ such that*

$$z(f) = c \Pi \langle f \rangle$$

for every $f \in P^{1,1}(\mathbb{R}^n)$.

Proof. Define the operator $Z : \mathcal{P}_0^n \rightarrow \langle \mathcal{K}_c^n, + \rangle$ by setting

$$ZP = z(\ell_P).$$

If $\ell_P, \ell_Q \in P^{1,1}(\mathbb{R}^n)$ are such that $\ell_P \vee \ell_Q \in P^{1,1}(\mathbb{R}^n)$, then $\ell_P \vee \ell_Q = \ell_{P \cup Q}$ and $\ell_P \wedge \ell_Q = \ell_{P \cap Q}$. Since z is a valuation on $P^{1,1}(\mathbb{R}^n)$, it follows for $P, Q, P \cup Q \in \mathcal{P}_0^n$ that

$$\begin{aligned} Z(P) + Z(Q) &= z(\ell_P) + z(\ell_Q) \\ &= z(\ell_P \vee \ell_Q) + z(\ell_P \wedge \ell_Q) \\ &= Z(P \cup Q) + Z(P \cap Q). \end{aligned}$$

Thus $Z : \mathcal{P}_0^n \rightarrow \langle \mathcal{K}_c^n, + \rangle$ is a valuation.

By Lemma 4, the operator z is $\text{GL}(n)$ contravariant of weight $p \geq 1$. Since for $\phi \in \text{GL}(n)$

$$Z(\phi P) = z(\ell_{\phi P}) = z(\ell_P \circ \phi^{-1}) = |\det \phi|^p \phi^{-t} z(\ell_P) = |\det \phi|^p \phi^{-t} ZP,$$

also Z is $\text{GL}(n)$ contravariant of weight $p \geq 1$. Thus we obtain from Theorem 3 that there exists a constant $c \geq 0$ such that

$$z(\ell_P) = c \Pi P$$

for all $\ell_P \in P^{1,1}(\mathbb{R}^n)$. The statement now follows from Lemma 3 and (7). \square

Lemma 6. *If $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$ is a continuous, non-trivial, translation invariant valuation which is homogeneous of degree q , then $q \geq 1$.*

Proof. Let $P \in \mathcal{P}_0^n$ and $\varepsilon > 0$. Take translations τ_1, \dots, τ_k such that the polytopes $\tau_i P$ are pairwise disjoint. Define

$$f_k = \frac{1}{k^{1+\varepsilon}} (\ell_{\tau_1 P} \vee \dots \vee \ell_{\tau_k P}).$$

Then $|f_k|_1 = |\nabla f_k|_1 = O(k^{-\varepsilon})$ as $k \rightarrow \infty$. Hence $f_k \rightarrow 0$ as $k \rightarrow \infty$ in $W^{1,1}(\mathbb{R}^n)$. Since z is a translation invariant and homogeneous valuation, we obtain using (9) that

$$z(f_k) = k k^{-q(1+\varepsilon)} z(\ell_P).$$

Since z is continuous, it follows from (9) that $q \geq 1$. \square

Lemma 7. *If $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$ is a continuous, non-trivial, translation invariant valuation which is $\text{GL}(n)$ contravariant of weight 1 and homogeneous of degree q , then $q \leq 1$.*

Proof. Let $P \in \mathcal{P}_0^n$ and $\alpha, \beta > 0$. Take translations τ_1, \dots, τ_k such that the polytopes $\tau_i P$ are pairwise disjoint. Define

$$f_k = k^\alpha (\ell_{\tau_1(P/k^\beta)} \vee \dots \vee \ell_{\tau_k(P/k^\beta)}).$$

Then $|f_k|_1 = O(k^{1+\alpha-n\beta})$ and $|\nabla f_k|_1 = O(k^{1+\alpha+\beta-n\beta})$ as $k \rightarrow \infty$. Hence for $\alpha < (n-1)\beta - 1$, we have $f_k \rightarrow 0$ as $k \rightarrow \infty$ in $W^{1,1}(\mathbb{R}^n)$. Since z is a translation invariant and homogeneous valuation, we obtain using (9) that

$$z(f_k) = k^\alpha k^q k^{-n\beta+\beta} z(\ell_P).$$

Since z is continuous, it follows from (9) that $q \leq (-1 + (n-1)\beta)/\alpha$. Since this holds for all $\alpha < (n-1)\beta - 1$ and all β , we conclude that $q \leq 1$. \square

Lemma 8. *Let $z_1, z_2 : L^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}^n, + \rangle$ be continuous, translation invariant valuations, which are homogeneous of the same degree. If $z_1(f) = z_2(f)$ for all $f \in P^{1,1}(\mathbb{R}^n)$, then*

$$z_1(f) = z_2(f) \tag{14}$$

for all $f \in L^{1,1}(\mathbb{R}^n)$.

Proof. Let z_1 and z_2 be homogeneous of degree q . As noted before, $q \geq 0$. If $q = 0$, then $z_i(f) = z_i(0)$ for all $f \in L^{1,1}(\mathbb{R}^n)$ and the statement of the lemma is true. Therefore we assume that z_1 and z_2 are homogeneous of degree $q > 0$ and have

$$z_1(0) = z_2(0) = \{0\}. \tag{15}$$

Since z_1 and z_2 are homogeneous valuations, we obtain using (15) that for $i = 1, 2$,

$$z_i(f \vee 0) + z_i(f \wedge 0) = z_i(f) + z_i(0) = z_i(f)$$

and

$$z_i(f \wedge 0) = z_i(-((-f) \vee 0)) = z_i((-f) \vee 0).$$

Thus it suffices to show that (14) holds for all $f \in L^{1,1}(\mathbb{R}^n)$ with $f \geq 0$.

Let such a function f be given and let f not vanish identically. Triangulate the support of f so that f is affine on each simplex of the triangulation. Let V be the (finite) set of vertices and \mathcal{S} the set of n -dimensional simplices of this triangulation. Note that f is determined by the values $f(v)$ for $v \in V$ and that if $f(\bar{v}) > 0$ for some $\bar{v} \in V$, then by changing the value $f(\bar{v})$ we obtain again a function in $L^{1,1}(\mathbb{R}^n)$. Since z_1 and z_2 are continuous, it suffices to prove (14) for a function f where the values $f(v)$ are distinct for $v \in V$ with $f(v) > 0$.

First, we show that for such a function f there are $f_1, \dots, f_m \in L^{1,1}(\mathbb{R}^n)$ which are non-negative and concave on their supports such that

$$f = f_1 \vee \dots \vee f_m. \quad (16)$$

Define the function f_i by setting $f_i(v) = f(v)$ on the vertices v of the simplex S_i of \mathcal{S} . Choose a polytope P_i such that $S_i \subset P_i$ and set $f_i(v) = 0$ on the vertices v of P_i . The function f_i determined by these data is concave on its support and piecewise linear. Moreover, if the polytopes P_i are chosen suitably small, (16) holds.

Using the inclusion-exclusion principle, we obtain from (16) that for $i = 1, 2$,

$$z_i(f) = z_i(f_1 \vee \dots \vee f_m) = \sum_J (-1)^{|J|-1} z_i(f_J)$$

where we sum over all non-empty $J \subset \{1, \dots, m\}$ and

$$f_J = f_{j_1} \wedge \dots \wedge f_{j_k}$$

for $J = \{j_1, \dots, j_k\}$. Thus it suffices to prove (14) for non-negative $f \in L^{1,1}(\mathbb{R}^n)$ that are concave on their support.

For a given function $f \in L^{1,1}(\mathbb{R}^n)$, let $F \subset \mathbb{R}^{n+1}$ be the compact polytope bounded by the graph of f and the hyperplane $\{x_{n+1} = 0\}$. We call F *singular* if F has n facet hyperplanes that intersect in a line L parallel to $\{x_{n+1} = 0\}$ but not contained in $\{x_{n+1} = 0\}$. Since z_1 and z_2 are continuous, it suffices to show (14) for $f \in L^{1,1}(\mathbb{R}^n)$ such that F is not singular. So we assume for the rest of the proof that f has this property.

Let such a function f be given. Let \bar{p} be the vertex of F with the largest x_{n+1} coordinate. We use induction on the number m of facet hyperplanes of F that are not passing through \bar{p} . If $m = 1$, then a translate of f is in $P^{1,1}(\mathbb{R}^n)$. Since z_1 and z_2 are translation invariant and homogeneous, (14) is true. Suppose (14) is true for all $f \in L^{1,1}(\mathbb{R}^n)$ such that F has at most $(m-1)$ facet hyperplanes not containing \bar{p} . We show that (14) then also holds for all $f \in L^{1,1}(\mathbb{R}^n)$ with m such hyperplanes.

So let F have m such hyperplanes. Let $p_0 = (x_0, f(x_0))$ be a vertex of F with minimal non-negative x_{n+1} -coordinate. Let H_1, \dots, H_j be the facet hyperplanes of F through p_0 which do not contain \bar{p} . There is at least one such hyperplane. Define \bar{F} as the polytope bounded by the intersection of all facet hyperplanes of F with the exception of H_1, \dots, H_j . Since F has no edges parallel to $\{x_{n+1} = 0\}$ but not contained in $\{x_{n+1} = 0\}$, the polytope \bar{F} is bounded and the piecewise affine function \bar{f} corresponding to \bar{F} is in $L^{1,1}(\mathbb{R}^n)$. Note that \bar{F} has at most $(m-1)$ facet hyperplanes not containing \bar{p} . Let $\bar{H}_1, \dots, \bar{H}_i$ be the facet hyperplanes of \bar{F} that contain p_0 . Choose suitable hyperplanes $\bar{H}_{i+1}, \dots, \bar{H}_k$ containing p_0 so that the hyperplanes $\bar{H}_1, \dots, \bar{H}_k$ and $\{x_{n+1} = 0\}$ bound a pyramid with apex at p_0 that is contained in \bar{F} , has x_0 in its base and has $\bar{H}_1, \dots, \bar{H}_i$ among its facet hyperplanes. Define ℓ as the piecewise affine function determined by this pyramid and note that a suitable translate of ℓ is in $P^{1,1}(\mathbb{R}^n)$. Set $\bar{\ell} = f \wedge \ell \in L^{1,1}(\mathbb{R}^n)$. The polytope determined by $\bar{\ell}$ is a pyramid since it is bounded by $\{x_{n+1} = 0\}$ and hyperplanes containing p_0 . Therefore a suitable translate of $\bar{\ell}$ is in $P^{1,1}(\mathbb{R}^n)$. Hence translates of $\bar{\ell}$ and ℓ are in $P^{1,1}(\mathbb{R}^n)$, the polytope \bar{F} has at most $(m-1)$ facet hyperplanes not containing \bar{p} , and

$$f \vee \ell = \bar{f} \quad \text{and} \quad f \wedge \ell = \bar{\ell}.$$

Since z is a valuation, we obtain for $i = 1, 2$ that

$$z_i(f) + z_i(\ell) = z_i(\bar{f}) + z_i(\bar{\ell}).$$

Thus by induction (14) holds for all $f \in L^{1,1}(\mathbb{R}^n)$ with m facet hyperplanes not containing \bar{p} . This completes the proof of the lemma. \square

5 Proof of Theorem 1

Suppose that $z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, \# \rangle$ is a continuous affinely covariant valuation. Then for all $f, g \in W^{1,1}(\mathbb{R}^n)$,

$$z(f) \# z(g) = z(f \vee g) \# z(f \wedge g).$$

Hence, applying Π , we obtain by (6) that

$$\Pi z(f) + \Pi z(g) = \Pi z(f \vee g) + \Pi z(f \wedge g)$$

for all $f, g \in W^{1,1}(\mathbb{R}^n)$, that is, $\Pi \circ z : W^{1,1}(\mathbb{R}^n) \rightarrow \langle \mathcal{K}_c^n, + \rangle$ is a valuation. Since z is affinely covariant, (8) implies that $\Pi \circ z$ is affinely contravariant. Since z and Π are continuous, also $\Pi \circ z$ is continuous. Thus by Theorem 2, there is a constant $\tilde{c} \geq 0$ such that

$$\Pi z(f) = \tilde{c} \Pi \langle f \rangle$$

for every $f \in W^{1,1}(\mathbb{R}^n)$. Since Π is injective on \mathcal{K}_c^n , we obtain that $z(f) = c \langle f \rangle$ for all $f \in W^{1,1}(\mathbb{R}^n)$ for some $c \in \mathbb{R}$. Combined with Lemma 2, this completes the proof of the theorem.

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